#### **PATENT**

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Isaac SAIAS,

Application No.: 09/388,123

Filed: August 31, 1999

For: METHOD FOR PERFORMING

SOCIAL COMPUTATION

Group Art Unit: 2785

Examiner: Not yet assigned

Attorney Docket No.: 9392-011

# TRANSMITTAL OF VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS UNDER 37 C.F.R. § 1.9(f) AND 1.27(c)

Assistant Commissioner for Patents **Box Missing Part**Washington, D.C. 20231

Sir:

Attorneys for Applicants submit the attached Verified Statement Claiming Small Entity Status - Small Business Concern.

For:

Respectfully submitted,

Date: November 17, 1999

Nanda K. Alapati

Francis E. Morris

PENNIE & EDMONDS LLP

Hand X. class

1667 K Street, N.W. Washington, DC 20006

(202) 496-4400

Reg. No. 39,893

Reg. No. 24,615

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Isaac SAIAS.

Serial No.: 09/388,123

Filed: August 31, 1999

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Examiner: Not yet assigned

Atty. Docket No.: 9392-011-999

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application dated September 17, 1999, a copy of which is enclosed, Applicants are submitting the following items:

- 1) Verified Statement Claiming Small Entity Status
- 2) Declaration and Power of Attorney form
- 3) Submission of Filing Fee for Small Entity. A total fee of \$445.00 is believed to be due for this submission. This includes \$65.00 for late submission of the Declaration and filing fee, and the basic filing fee of \$380.00.

Please charge the required fee believed to be \$445.00, to Pennie & Edmonds LLP's Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

1 x as

Date: November 17, 1999

Nanda K. Alapati

(Reg. No. 39,893)

For: Francis E. Morris

(Reg. No. 24,615)

PENNIE & EDMONDS LLP

1667 K Street, N.W. Washington, DC 20006

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Enclosure

DC1 - 237901.1



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### UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NO//ITLE

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SAIAS

020582
PENNIE AND EDMONDS. L.L.P.
1667 K STREET NW
SHITE 1000
WASHINGTON DC 20006

NOV 1 7 1999 55

NOT ASSIGNED

2785

DATE MAILED:

09/17/99

## NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1:136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

for a small entity in compliance with 37 CFR 1.27, or 🖃 \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.
If all required items on this form are filed within the period set above, the total amount owed by applicant as a  □ small entity (statement filed) □ non-small entity is \$
1. The statutory basic filing fee is:   missing.   insufficient.
Applicant must submit \$ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).  2. The following additional claims fees are due:
\$fortotal claims over 20.
\$forindependent claims over 3.
\$for multiple dependent claim surcharge. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
<ul> <li>☑ 3. The oath or declaration:</li> <li>☑ is missing or unsigned.</li> <li>☐ does not cover the newly submitted items.</li> </ul>
An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.
4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:
An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
<ul> <li>7. Your filing receipt was mailed in error because your check was returned without payment.</li> <li>8. The application was filed in a language other than English.</li> </ul>
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
□ 9. OTHER:
Direct the reply and any questions about this notice to "Attention: Box Missing Parts."
A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202